

# Low clouds suppress Arctic air formation and amplify high-latitude continental winter warming

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High-latitude continents have warmed much more rapidly in recent decades than the rest of the globe, especially in winter, and the maintenance of warm, frost-free conditions in continental interiors in winter has been a long-standing problem of past equable climates. We use an idealized single-column atmospheric model across a range of conditions to study the polar night process of air mass transformation from high-latitude maritime air, with a prescribed initial temperature profile, to much colder high-latitude continental air. We find that a low-cloud feedback—consisting of a robust increase in the duration of optically thick liquid clouds with warming of the initial state—slows radiative cooling of the surface and amplifies continental warming. This low-cloud feedback increases the continental surface air temperature by roughly two degrees for each degree increase of the initial maritime surface air temperature, effectively suppressing Arctic air formation. The time it takes for the surface air temperature to drop below freezing increases nonlinearly to  $\sim 10$  d for initial maritime surface air temperatures of 20 °C. These results, supplemented by an analysis of Coupled Model Intercomparison Project phase 5 climate model runs that shows large increases in cloud water path and surface cloud longwave forcing in warmer climates, suggest that the “lapse rate feedback” in simulations of anthropogenic climate change may be related to the influence of low clouds on the stratification of the lower troposphere. The results also indicate that optically thick stratus cloud decks could help to maintain frost-free winter continental interiors in equable climates.

global warming | polar amplification | cloud feedbacks | paleoclimate

One of the persistent mysteries of the “equable climates” of the Eocene and Cretaceous,  $\sim 143$ –33 million years ago, is the warmth of midlatitude and high-latitude continental interiors during winter and, in particular, the frost-intolerant flora and fauna in parts of what is now Wyoming and southern Canada (1). Climate models can simulate warm conditions over the ocean, but they have difficulty simulating continental warmth away from the moderating effects of the ocean, especially if tropical warming is constrained to be  $\lesssim 10$  °C. Although recent work suggests a relaxation of such tropical constraints (2), model–data agreement has been found only for model CO<sub>2</sub> concentrations that seem unrealistically high, and the mechanisms that maintain high-latitude warmth over land remain poorly understood (2, 3). Previous proposed mechanisms to explain the overall reduction of the equator–pole temperature contrast in equable climates include polar stratospheric clouds (4, 5), dramatic expansion of the Hadley circulation (6), increased poleward ocean heat transport due to ocean mixing by stronger tropical cyclones (7, 8), and a convective cloud feedback (9). The convective cloud feedback has now appeared in multiple simulations of past and future climates at high CO<sub>2</sub> (10, 11) but is not effective at explaining warmth over land; the other possible mechanisms remain speculative at this point.

Mechanisms that underlie high-latitude continental warmth in past equable climates are also potentially relevant to understanding current and future climate change. The Arctic and high-latitude land in North America and Asia have warmed much

more rapidly than the global mean temperature in recent decades (12, 13). Furthermore, climate models predict a significant future amplification of winter warming over the Arctic, both land and ocean (14–16). Numerous mechanisms have been proposed to explain Arctic amplification (15), including ice albedo feedbacks (17), meridional structure in the Planck feedback (18), increased moist static energy transport by the atmosphere (19), a convective cloud feedback (9), and changes in the stability of the atmosphere, with stronger warming near the surface (20). Such surface-amplified warming—also referred to as a positive “lapse rate feedback”—leads to a smaller increase in outgoing longwave radiation than would occur for the same amount of warming spread over the depth of the troposphere, because much of the emission from the lower troposphere is absorbed before reaching the top of the atmosphere. Recent analysis across a set of climate models suggests that the lapse rate feedback is the strongest contributor to the enhanced high-latitude warming (16).

Unfortunately, our understanding of why warming is surface-amplified at high latitudes is relatively poor. The high-latitude lapse rate feedback is merely a diagnostic measure, which identifies enhanced warming as a consequence of the a priori unknown vertical structure of that warming. The vertical temperature structure of the Arctic atmosphere may change due to many mechanisms, including changes in sea ice area, clouds, water vapor, or atmospheric heat transport (21). This differs from the simpler case of the tropics, where constraints imposed by moist convection allow for a direct prediction of the lapse rate and how it changes with climate (16).

The goal of this paper is to suggest that both the high-latitude lapse rate feedback and winter continental warmth in equable climates may be tied to the process of very cold continental air mass formation during winter. It has been shown that lower-tropospheric

## Significance

Future-greenhouse simulations, and evidence of frost-intolerant species in high-latitude continental interiors during past equable climates, show significantly amplified warming at high latitudes over land in winter, with physical mechanisms that are still not understood. We show that the process of Arctic air formation, in which a high-latitude maritime air mass is advected over a continent, cooled at the surface, and transformed into a much colder continental polar air mass, may change dramatically and even be suppressed in warmer climates due to an increase in the duration of optically thick low clouds. This leads to two-degree warming over the continent in response to each degree of warming over the nearby ocean, possibly explaining both past and future continental warming.

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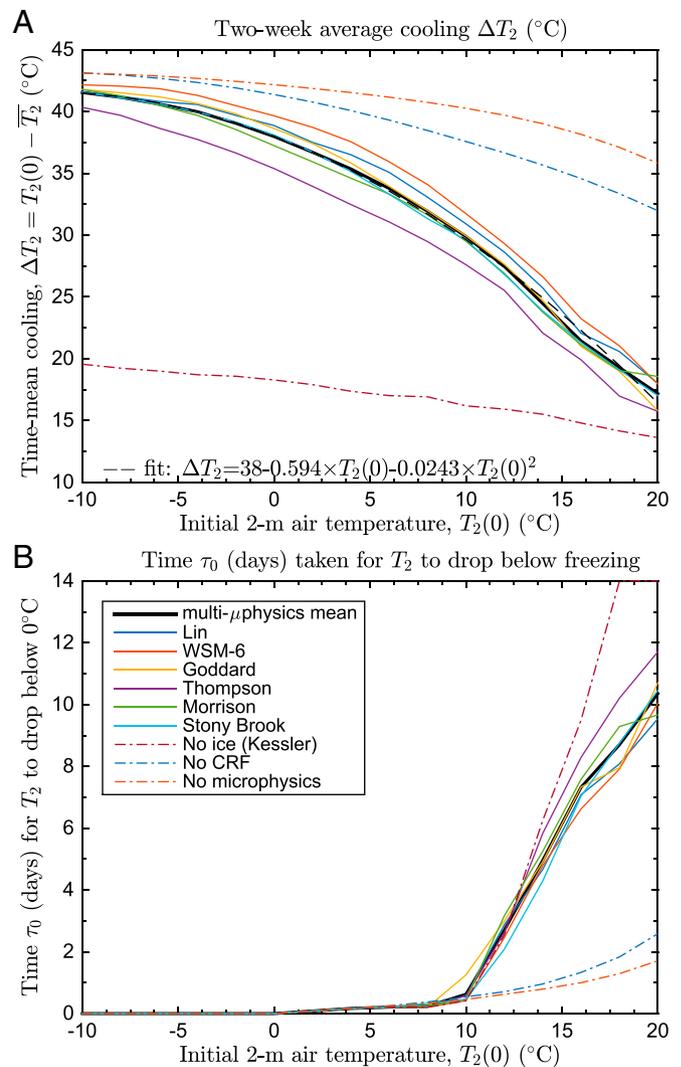
The importance of clouds can be seen by comparing net longwave surface cooling rates with and without clouds (Fig. 1D). The difference between the solid and dashed purple lines, corresponding to the simulation with  $T_2(0) = 0^\circ\text{C}$ , indicates that clouds only weakly influence the surface cooling, except in the brief period between days 1 and 2 when a thick mixed-phase stratus layer forms. The difference between the solid and dashed orange lines, corresponding to the  $T_2(0) = 20^\circ\text{C}$  simulation, is larger and more persistent, indicating that clouds reduce surface cooling for nearly the entire duration of the simulation. The influence of initial temperature can also be seen in plots of the vertically integrated cloud liquid and ice amounts; the warm initial state develops and retains more liquid water in clouds (Fig. 1E).

The reduced rate of cooling in response to higher initial temperature  $T_2(0)$  is robust with respect to the microphysics scheme used, as seen in the difference between the initial temperature and the time mean 2-m air temperature over the duration of the simulation,  $\Delta T_2 = T_2(0) - \bar{T}_2$  (Fig. 2A). The average surface cooling across microphysics schemes for  $T_2(0) = 0^\circ\text{C}$  is  $\Delta T_2 \approx 38^\circ\text{C}$ , and is reduced by  $21^\circ\text{C}$  to  $\Delta T_2 \approx 17^\circ\text{C}$  for  $T_2(0) = 20^\circ\text{C}$ . The suppression of Arctic air formation thus amplifies warming of the initial atmospheric state by over a factor of two.

The time taken for the 2-m air temperature to drop below freezing,  $\tau_0$ , is less than 0.5 d if  $T_2(0) < 10^\circ\text{C}$ , but rises steeply to  $\sim 10$  d for  $T_2(0) = 20^\circ\text{C}$  (Fig. 2B). This nonlinearity is a consequence of the differential surface cooling rates under clear and cloudy skies as well as the use of a threshold-crossing metric; the surface initially cools rapidly under clear skies, but cools much more slowly once clouds form, with a temperature plateau for many days (solid orange line in Fig. 1C). Thus, for  $T_2(0) < 10^\circ\text{C}$ , the surface drops below freezing before clouds form and  $\tau_0$  is relatively insensitive to  $T_2(0)$ , but for  $T_2(0) > 10^\circ\text{C}$ , the surface drops below freezing after clouds form, and  $\tau_0$  is much more sensitive to  $T_2(0)$ .

Sensitivity tests allow us to decompose the reduced rate of cooling into contributions from cloud radiative effects, latent heat release, and clear-sky longwave radiation effects. The dash-dotted line marked “no microphysics” in Fig. 2A indicates the cooling that takes place in simulations where no phase change of water is allowed, and thus no cloud formation or latent heat release. The modestly reduced cooling of this case at higher  $T_2(0)$  owes to the decrease in clear-sky surface radiative cooling with higher atmospheric temperature (see also Fig. 1D, comparing initial surface longwave cooling rates). The dash-dotted line marked “no CRF” in Fig. 2A shows the cooling that takes place when phase change of water is allowed, but clouds have no effect on radiative transfer calculations. The difference between the “no microphysics” and “no CRF” simulations thus indicates that the influence of latent heat release on the reduction of surface cooling is only  $\sim 3^\circ\text{C}$  at  $T_2(0) = 20^\circ\text{C}$ . The large difference between the no CRF dash-dotted line and the set of solid lines, including the black multimicrophysics mean line, shows that cloud–radiation interactions dominate the reduced cooling with warmer  $T_2(0)$ . Furthermore, simulations representing clouds as only liquid regardless of temperature (dash-dotted line labeled “no ice”) show reduced cooling for all  $T_2(0)$ , and also a weaker sensitivity of  $\Delta T_2$  to  $T_2(0)$ . These sensitivity tests demonstrate that most of the simulated reduction in cooling arises from the radiative effects of clouds, and relates to a change in the phase of cloud particles from ice to liquid.

Consider next the role of  $\text{CO}_2$ , first by allowing its concentration to vary, with  $T_2(0)$  held constant. The mean across microphysics schemes shows that each doubling of  $\text{CO}_2$  leads to a modest  $0.57\text{--}0.77^\circ\text{C}$  increase in the 2-wk average temperature,  $\bar{T}_2$  (Fig. S1). Next, specifying a doubling of  $\text{CO}_2$  along with each  $4^\circ\text{C}$  increase in  $T_2(0)$ , we find that the fraction of warming due to clear-sky processes increases (compare “no microphysics” curves in Figs. 2A and S2A), yet the warming is similar to that obtained by only changing the initial temperature  $T_2(0)$ . The



**Fig. 2.** Simulation results for (A) average surface cooling over 2-wk period,  $\Delta T_2$  ( $^\circ\text{C}$ ), and (B) number of days taken for the 2-m air temperature to drop below freezing,  $\tau_0$ , both as a function of  $T_2(0)$ . Black line (“multi- $\mu$ physics mean”) indicates an average across the solid-line microphysics parameterizations, which contain both liquid- and ice-phase processes. Dash-dotted lines show unrealistic microphysics assumptions used to diagnose the response mechanism; “no microphysics” indicates no phase change of water allowed, and thus no clouds at all; “no CRF” indicates that clouds are allowed to form but do not affect radiative transfer; “No ice (Kessler)” indicates a microphysics scheme that has only liquid condensate, regardless of temperature. A quadratic fit to the solid black line in A is shown in black dashes, with the fit shown at the bottom of A.

direct influence of changes in  $\text{CO}_2$  is thus small compared with that of changes in clouds.

Additional sensitivity tests demonstrate robustness to the initial relative humidity profile, because the large decrease in near-surface temperature always leads to supersaturation and cloud formation at some point in the cooling process (Figs. S3 and S4). Our main results are also robust to the inclusion of subsidence, which limits the upward growth of the cloud deck for warmer initial conditions (Fig. S5) and weakens but does not eliminate the role of clouds in suppressing Arctic air formation (Fig. S6). Simulations with smaller and larger surface heat capacities (Figs. S7 and S8) show that the sensitivity of  $\Delta T_2$  to  $T_2(0)$  is larger for a lower heat capacity surface, because of stronger inversions at low temperatures corresponding to the present climate.



**Table 1. CMIP5 zonal mean and model mean changes in surface temperature and cloud radiative forcing, for DJF, showing the difference [2080–2099] minus [1980–1999]**

Latitude band, degrees	Land			Ocean		
	$\Delta T_{asr}$ , °C	$\Delta LWCRF_{sfc}$ , W·m <sup>-2</sup>	$\Delta LWCRF_{TOA}$ , W·m <sup>-2</sup>	$\Delta T_{asr}$ , °C	$\Delta LWCRF_{sfc}$ , W·m <sup>-2</sup>	$\Delta LWCRF_{TOA}$ , W·m <sup>-2</sup>
60–65	9.7 (1.6)	6.6 (3.0)	5.0 (1.7)	8.3 (2.1)	2.2 (1.5)	3.3 (2.2)
65–70	11.4 (2.5)	8.3 (4.1)	5.5 (2.2)	10.7 (1.9)	4.3 (2.4)	5.5 (1.8)
70–75	12.4 (3.1)	9.2 (5.1)	5.4 (2.6)	15.5 (3.5)	10.2 (4.7)	9.0 (3.3)
75–80	11.5 (2.9)	7.6 (4.4)	4.9 (2.5)	18.4 (4.6)	14.5 (6.5)	11.0 (4.4)
80–85	—	—	—	19.7 (5.9)	16.3 (6.9)	11.3 (6.1)
85–90	—	—	—	20.3 (6.8)	16.7 (7.2)	10.9 (7.1)

Parentheses indicate SD across models.

year-round positive longwave feedbacks, and clouds in the Arctic not increasing in height as much as clouds in other places, reducing top-of-atmosphere longwave cloud effects (30). Such annual mean top-of-atmosphere analysis, however, obscures the important role of clouds in altering the winter surface temperature and lapse rate of the lower troposphere (31), and in suppressing Arctic air formation. A year-round increase in cloud fraction damps the seasonal cycle of surface temperature, via increased shortwave reflection during summer and stronger longwave heating during winter, and is therefore in line with proxy evidence of equable climates.

One limitation of this study is that our single-column model has either 0% cloud fraction or 100% cloud fraction at each level at a given time step. This suggests that future work exploring the process of Arctic air formation in a 2D or 3D high-resolution model would likely be productive. Another useful test of the low-cloud feedback proposed here would be to examine variability or trends in the observational record; data from individual stations, for example, could be used to explore whether the cooling of stagnant air masses over high-latitude land in winter is indeed weakened for warmer initial air masses. In the real world, changes in the temperature, lapse rate, and cooling rate of high-latitude air over land would also feed back on large-scale atmospheric dynamics, including the subsidence experienced during the process of cold air formation. Global climate models could be used to investigate this feedback, as well as the role of changes in atmospheric circulation with warming on the process of Arctic air formation.

An even stronger warming over land than found in our column model may be expected when accounting for additional factors not considered here, such as shorter time spent by air columns over low heat capacity surfaces due to reduced winter sea ice cover in warmer climate, increases in surface heat capacity over land due to reduced snow cover, and CO<sub>2</sub> increase.

## Conclusions

We have analyzed the sensitivity of cold air formation using a single-column model and 3D climate model output. Using the column model, we prescribed the initial sounding of the atmosphere corresponding to an air column starting over a high-latitude open ocean, and allowed it to evolve for 2 wk in the absence of solar heating over a low heat capacity surface corresponding to high-latitude land, snow, or sea ice. We find that with warmer initial conditions corresponding to a warmer ocean, surface cooling is strongly suppressed due to the increasing lifetime and optical thickness of low clouds. These low clouds distribute the cooling over a deeper layer of the atmosphere, and thus amplify the warming at the surface relative to that aloft, which also suggests low clouds as an important potential cause of lapse-rate changes seen in simulations of future CO<sub>2</sub>-induced warming.

Large changes in cloud radiative effect on the surface energy budget occur in coupled climate models due to cloud phase and microphysics changes (34), temperature effects on adiabatic water

content (35–37), and changes in large-scale moisture convergence and evaporation (29). Furthermore, observations of internal variability and trends indicate that a warmer Arctic will also likely be cloudier in winter (38, 39). Taken along with our proposed effects of low clouds on polar air formation and other low-cloud effects in warmer climates (32), these lines of evidence point to a robust feedback between low clouds and high-latitude winter warming. Despite having only a weak influence on top-of-atmosphere radiative balance, low clouds at high latitudes may play a key role in equable climates, as well as in current and future climate change.

## Materials and Methods

We use a single-column configuration of the advanced research Weather Research and Forecasting model [WRF v3.4.1 (40)]. Key aspects of the model setup include the initial profiles of temperature and humidity, and the physics parameterizations used. The initial temperature profile has a prescribed 2-m temperature  $T_2(0)$ , and a lapse rate of either the moist pseudoadiabatic value or  $-8$  K/km, whichever is more stable; the tropopause at  $-60$  °C demarcates the base of an isothermal stratosphere. The initial humidity profile decreases from 80% at the surface (1,000 hPa) to 20% at 600 hPa, and is constant at 20% up to the tropopause; the water vapor mixing ratio is set to 5 ppm above the tropopause. The atmosphere is relaxed with a 1-d time scale to a vertically uniform eastward wind of 5 m/s. Large-scale subsidence is zero in all simulations except those shown by Figs. S5 and S6, wherein subsidence is implemented by using a vertical velocity profile  $w(z) = (27/4)w_s(z/z_m)(1 - z/z_m)^2$  to advect potential temperature, water vapor, and condensed water (this profile has peak subsidence  $w_s$  at  $z = z_m/3$ , goes to zero at  $z = 0$  and  $z = z_m$ , and is set to zero above  $z = z_m$ ; we use  $z_m = 12$  km). The vertical coordinate of the WRF model is  $\eta = (p_{hs} - p)/(p_{hs} - p_{ht})$ , where  $p$  is the grid cell pressure,  $p_{hs}$  is the hydrostatic surface pressure, and  $p_{ht}$  is the model-top hydrostatic pressure. We determine the position of model level  $i$  (out of  $N = 51$  total) using a grid with finer spacing in the lower troposphere (11 levels are below 900 hPa);  $\eta_i = 1 - [(i - 1)/(N - 1)]^{1.6}$ . Our main results are not sensitive to the number of vertical levels used or their precise spacing, and increasing the resolution does not change them significantly, although using a lower resolution in the lower atmosphere leads to weaker surface inversions, especially for low  $T_2(0)$ . We use the Rapid Radiative Transfer Model (RRTMG) scheme (41) for longwave radiative transfer, with CO<sub>2</sub> set to 300 ppm unless otherwise noted; no shortwave scheme is needed (simulations occur during polar night). We use the Yonsei University planetary boundary layer scheme (42), and the surface is defined as a slab with a heat capacity equivalent to a water layer of 5 cm depth, independent of temperature. This choice of slab thickness is justified by considering the diffusive penetration depth into a homogeneous snow surface with volumetric heat capacity  $C = 6 \times 10^5$  J·m<sup>-3</sup>·K<sup>-1</sup>, thermal diffusivity  $D = 5 \times 10^{-7}$  m<sup>2</sup>·s<sup>-1</sup>, and a time scale  $\tau = 10^5$  s ( $\approx 1$  d). The water-equivalent slab depth,  $z_{eff}$  is given by the ratio of heat capacity of the medium to that of water, times the diffusive penetration depth  $z^*$ ,  $z_{eff} = (C/C_w)z^*$ , where  $z^* = \sqrt{2D\tau}$ . These parameters give  $z^* = 0.32$  m, and thus  $z_{eff} \approx 5$  cm. Note that a larger effective slab depth  $z_{eff} \approx 15$  cm (as in Fig. S8) would be more appropriate for a land surface without snow cover. We use the following microphysics schemes: Kessler (43), Lin (44), WSM-6 (WRF single-moment 6-class) (45), Goddard (46), Thompson (47), Morrison (48), and Stony Brook (49). Examining water and energy conservation, we diagnose a consistent  $\sim 3$ – $4$  W·m<sup>-2</sup> spurious heat source in the column integral of dry enthalpy  $c_p T dp/g$  (approximating the dry thermodynamic equation in WRF) and a  $\sim 0.01$  mm·d<sup>-1</sup> spurious water source—but imbalances are small

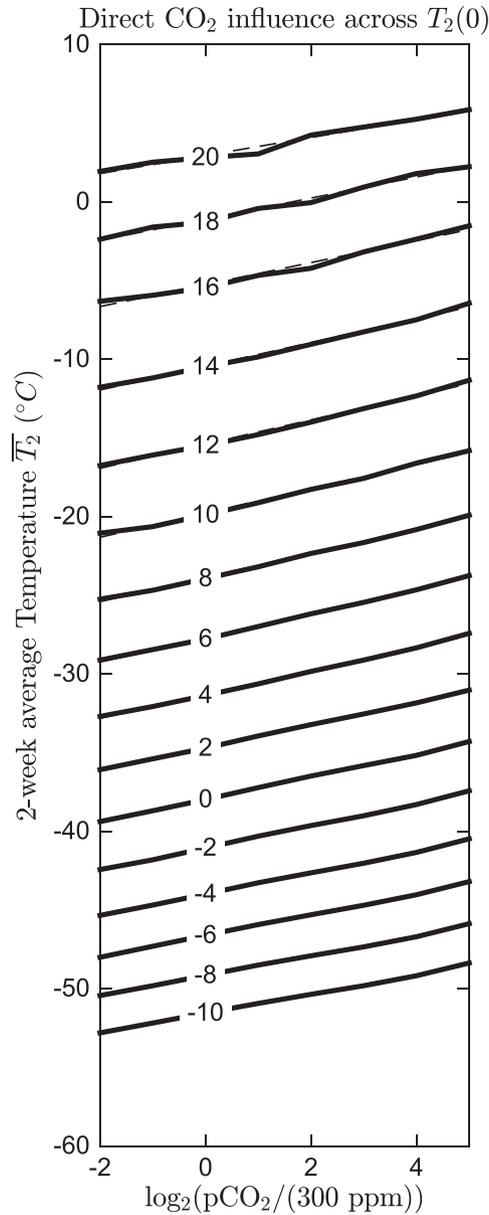
compared with average 2-wk tendencies, and do not show systematic dependence on temperature.

The following 11 CMIP5 models were used in this study to calculate mean changes between historical (1980–1999) and RCP8.5 (2080–2099) periods: (i) BCC-CSM1-1, Beijing Climate Center, China Meteorological Administration; (ii) CanESM2, Canadian Centre for Climate Modeling and Analysis; (iii) CCSM4, National Center for Atmospheric Research; (iv) CNRM-CM5, Centre National de Recherches Météorologiques; (v) GFDL-CM3, NOAA Geophysical Fluid Dynamics Laboratory; (vi) HadGEM2-ES, Met Office Hadley Centre; (vii) INMCM4, Institute for Numerical Mathematics; (viii) IPSL-CM5A-MR, Institut Pierre-Simon Laplace; (ix) MIROC5, Japan Agency for Marine-Earth Science and Technology; and (x) MPI-ESM-MR, Max Planck Institute for Meteorology; (xi) MRI-CGCM3, Meteorological Research Institute.

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# Supporting Information

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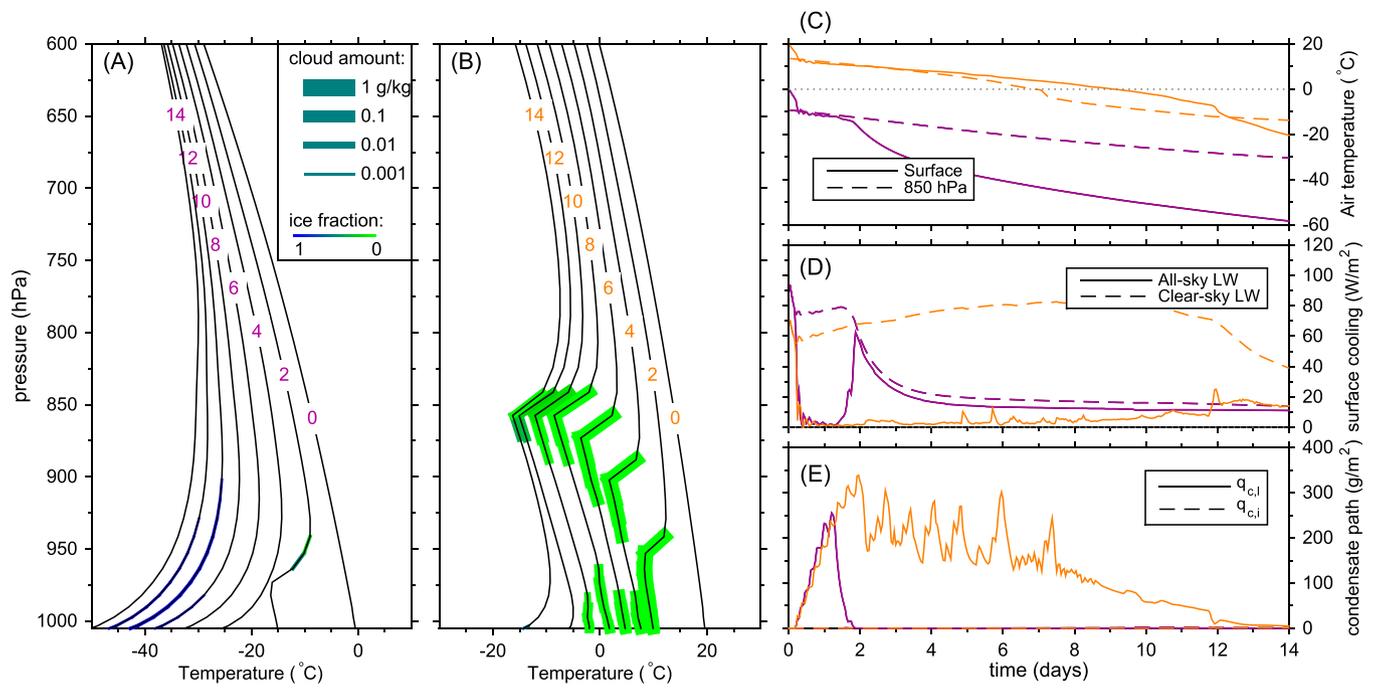


**Fig. S1.** Direct influence of  $p\text{CO}_2$  on 2-wk average surface air temperature,  $\bar{T}_2$ , across a range of temperatures. Note the logarithmic horizontal axis; minimum  $p\text{CO}_2$  is 75 ppm, and maximum is 9,600 ppm. Solid black lines indicate mean across microphysics schemes, numbers on each line indicate the initial 2-m air temperature  $T_2(0)$ , and dashed black lines indicate fits  $\bar{T}_2 = m \log_2(p\text{CO}_2) + b$ . Slopes  $m$  vary from 0.57 °C to 0.77 °C per doubling of  $p\text{CO}_2$ .









**Fig. S5.** As in Fig. 1, but with an imposed subsidence profile, with subsidence peaking at 2 mm/s at  $z = 4$  km, and decreasing to zero for  $z = 0$  km and  $z = 12$  km; the expression for  $w(z)$  is given in *Materials and Methods*. Principal differences from results in Fig. 1 include more cooling in the lowest 100–150 hPa of the troposphere, a sharper cloud-top inversion for the warmer initial condition, and less cooling aloft.







