



HARVARD

**School of Engineering
and Applied Sciences**

Control-Flow Analysis

CS252r Spring 2011

*Includes (a lot of) material from slides for
Principles of Program Analysis
by Nielson, Nielson, and Hankin*

<http://www2.imm.dtu.dk/~riis/PPA/ppasup2004.html>

Outline

- What's the problem?
- 0-CFA
- Uniform k -CFA
- The k -CFA paradox

What is control-flow analysis?

- Data-flow analysis relies on a **control-flow graph**
- How do we construct CFG?
- For intra-procedural analysis, relatively straightforward
 - Identify basic blocks, control-flow structures
 - We will not delve into this
- For inter-procedural analysis
 - If functions/procedures are not first-class, relatively simple
 - For languages with **dynamic dispatch**, it's harder
 - Dynamic dispatch: which procedure/function gets invoked depends on runtime values
 - Functional languages, OO, imperative languages with procedures as parameters, ...

CFA in higher-order languages

- We'll mostly focus today on control-flow analysis of functional languages
 - For each function application, which functions may be applied?
- E.g.

```
let f = fn x => x 1;  
    g = fn y => y+2;  
    h = fn z => z+3  
in (f g) + (f h)
```

Syntax of language

e	\in	Exp	expressions (or labelled terms)
t	\in	Term	terms (or unlabelled expressions)
f, x	\in	Var	variables
c	\in	Const	constants
op	\in	Op	binary operators
ℓ	\in	Lab	labels

$e ::= t^\ell$

$t ::= c \mid x \mid \text{fn } x \Rightarrow e_0 \mid \text{fun } f \ x \Rightarrow e_0 \mid e_1 \ e_2$
 $\mid \text{if } e_0 \text{ then } e_1 \text{ else } e_2 \mid \text{let } x = e_1 \text{ in } e_2 \mid e_1 \ op \ e_2$

Examples

```
((fn x => x1)2 (fn y => y3)4)5
```

```
(let f = (fn x => (x1 12)3)4;  
  in (let g = (fn y => y5)6;  
      in (let h = (fn z => z7)8  
          in ((f9 g10)11 + (f12 h13)14)15)16)17)18
```

```
(let g = (fun f x => (f1 (fn y => y2)3)4)5  
  in (g6 (fn z => z7)8)9)10
```

0-CFA

- 0-CFA is an context-insensitive CFA.
- Result of a 0-CFA analysis is pair $(\hat{\mathbf{C}}, \hat{\rho})$

- $\hat{\mathbf{C}}$ is an **abstract cache**

- $\hat{\rho}$ is an **abstract environment**

Actually, just functions

$\hat{v} \in \widehat{\mathbf{Val}} = \mathcal{P}(\mathbf{Term})$ *abstract values*

$\hat{\rho} \in \widehat{\mathbf{Env}} = \mathbf{Var} \rightarrow \widehat{\mathbf{Val}}$ *abstract environments*

$\hat{\mathbf{C}} \in \widehat{\mathbf{Cache}} = \mathbf{Lab} \rightarrow \widehat{\mathbf{Val}}$ *abstract caches*

- Notes:

- Could combine these into one entity: $(\mathbf{Var} \cup \mathbf{Lab}) \rightarrow \widehat{\mathbf{Val}}$

- Could also require A-normal form, where all subterms are appropriately labeled by variables

Example

$$((\text{fn } x \Rightarrow x^1)^2 (\text{fn } y \Rightarrow y^3)^4)^5$$

	$(\hat{C}_e, \hat{\rho}_e)$	$(\hat{C}'_e, \hat{\rho}'_e)$	$(\hat{C}''_e, \hat{\rho}''_e)$
1	$\{\text{fn } y \Rightarrow y^3\}$	$\{\text{fn } y \Rightarrow y^3\}$	$\{\text{fn } x \Rightarrow x^1, \text{fn } y \Rightarrow y^3\}$
2	$\{\text{fn } x \Rightarrow x^1\}$	$\{\text{fn } x \Rightarrow x^1\}$	$\{\text{fn } x \Rightarrow x^1, \text{fn } y \Rightarrow y^3\}$
3	\emptyset	\emptyset	$\{\text{fn } x \Rightarrow x^1, \text{fn } y \Rightarrow y^3\}$
4	$\{\text{fn } y \Rightarrow y^3\}$	$\{\text{fn } y \Rightarrow y^3\}$	$\{\text{fn } x \Rightarrow x^1, \text{fn } y \Rightarrow y^3\}$
5	$\{\text{fn } y \Rightarrow y^3\}$	$\{\text{fn } y \Rightarrow y^3\}$	$\{\text{fn } x \Rightarrow x^1, \text{fn } y \Rightarrow y^3\}$
x	$\{\text{fn } y \Rightarrow y^3\}$	\emptyset	$\{\text{fn } x \Rightarrow x^1, \text{fn } y \Rightarrow y^3\}$
y	\emptyset	\emptyset	$\{\text{fn } x \Rightarrow x^1, \text{fn } y \Rightarrow y^3\}$

Acceptable

Not acceptable

Acceptable but less precise

Abstract specification

- What does it mean for $(\hat{\mathbf{C}}, \hat{\rho})$ to be acceptable?
- Define relation indicating when $(\hat{\mathbf{C}}, \hat{\rho})$ is acceptable 0-CFA of expression e

$$(\hat{\mathbf{C}}, \hat{\rho}) \models e$$

$$\models : (\widehat{\mathbf{Cache}} \times \widehat{\mathbf{Env}} \times \mathbf{Exp}) \rightarrow \{true, false\}$$

Abstract specification

$$(\hat{C}, \hat{\rho}) \models c^l \text{ always}$$

$$(\hat{C}, \hat{\rho}) \models x^l \quad \underline{\text{iff}} \quad \hat{\rho}(x) \subseteq \hat{C}(l)$$

$$\begin{aligned} (\hat{C}, \hat{\rho}) \models (\text{let } x = t_1^{l_1} \text{ in } t_2^{l_2})^l \\ \underline{\text{iff}} \quad (\hat{C}, \hat{\rho}) \models t_1^{l_1} \wedge (\hat{C}, \hat{\rho}) \models t_2^{l_2} \wedge \\ \hat{C}(l_1) \subseteq \hat{\rho}(x) \wedge \hat{C}(l_2) \subseteq \hat{C}(l) \end{aligned}$$

Abstract specification

$$\begin{aligned} (\hat{C}, \hat{\rho}) &\models (\text{if } t_0^{l_0} \text{ then } t_1^{l_1} \text{ else } t_2^{l_2})^l \\ \text{iff} & \quad (\hat{C}, \hat{\rho}) \models t_0^{l_0} \wedge \\ & \quad (\hat{C}, \hat{\rho}) \models t_1^{l_1} \wedge (\hat{C}, \hat{\rho}) \models t_2^{l_2} \wedge \\ & \quad \hat{C}(l_1) \subseteq \hat{C}(l) \quad \wedge \quad \hat{C}(l_2) \subseteq \hat{C}(l) \end{aligned}$$

$$\begin{aligned} (\hat{C}, \hat{\rho}) &\models (t_1^{l_1} \text{ op } t_2^{l_2})^l \\ \text{iff} & \quad (\hat{C}, \hat{\rho}) \models t_1^{l_1} \wedge (\hat{C}, \hat{\rho}) \models t_2^{l_2} \end{aligned}$$

Abstract specification

$$(\hat{C}, \hat{\rho}) \models (\text{fn } x \Rightarrow t_0^{l_0})^l \text{ iff } \{\text{fn } x \Rightarrow t_0^{l_0}\} \subseteq \hat{C}(l)$$

$$\begin{aligned} (\hat{C}, \hat{\rho}) \models (t_1^{l_1} \ t_2^{l_2})^l \\ \text{iff } & (\hat{C}, \hat{\rho}) \models t_1^{l_1} \wedge (\hat{C}, \hat{\rho}) \models t_2^{l_2} \wedge \\ & (\forall (\text{fn } x \Rightarrow t_0^{l_0}) \in \hat{C}(l_1) : (\hat{C}, \hat{\rho}) \models t_0^{l_0} \wedge \\ & \hat{C}(l_2) \subseteq \hat{\rho}(x) \wedge \hat{C}(l_0) \subseteq \hat{C}(l)) \end{aligned}$$

Abstract specification

$$(\hat{C}, \hat{\rho}) \models (\text{fun } f \ x \Rightarrow e_0)^l \text{ iff } \{\text{fun } f \ x \Rightarrow e_0\} \subseteq \hat{C}(l)$$

$$(\hat{C}, \hat{\rho}) \models (t_1^{l_1} \ t_2^{l_2})^l$$

$$\text{iff } (\hat{C}, \hat{\rho}) \models t_1^{l_1} \wedge (\hat{C}, \hat{\rho}) \models t_2^{l_2} \wedge$$

$$(\forall (\text{fn } x \Rightarrow t_0^{l_0}) \in \hat{C}(l_1) : (\hat{C}, \hat{\rho}) \models t_0^{l_0} \wedge \hat{C}(l_2) \subseteq \hat{\rho}(x) \wedge \hat{C}(l_0) \subseteq \hat{C}(l)) \wedge$$

$$(\forall (\text{fun } f \ x \Rightarrow t_0^{l_0}) \in \hat{C}(l_1) : (\hat{C}, \hat{\rho}) \models t_0^{l_0} \wedge \hat{C}(l_2) \subseteq \hat{\rho}(f) \wedge \hat{C}(l_0) \subseteq \hat{C}(l) \wedge \{\text{fun } f \ x \Rightarrow t_0^{l_0}\} \subseteq \hat{\rho}(f))$$

What's acceptable

$$((\text{fn } x \Rightarrow x^1)^2 (\text{fn } y \Rightarrow y^3)^4)^5$$

	$(\hat{C}_e, \hat{\rho}_e)$	$(\hat{C}'_e, \hat{\rho}'_e)$
1	$\{\text{fn } y \Rightarrow y^3\}$	$\{\text{fn } y \Rightarrow y^3\}$
2	$\{\text{fn } x \Rightarrow x^1\}$	$\{\text{fn } x \Rightarrow x^1\}$
3	\emptyset	\emptyset
4	$\{\text{fn } y \Rightarrow y^3\}$	$\{\text{fn } y \Rightarrow y^3\}$
5	$\{\text{fn } y \Rightarrow y^3\}$	$\{\text{fn } y \Rightarrow y^3\}$
x	$\{\text{fn } y \Rightarrow y^3\}$	\emptyset
y	\emptyset	\emptyset

$$(\hat{C}_e, \hat{\rho}_e) \models ((\text{fn } x \Rightarrow x^1)^2 (\text{fn } y \Rightarrow y^3)^4)^5$$

$$(\hat{C}'_e, \hat{\rho}'_e) \not\models ((\text{fn } x \Rightarrow x^1)^2 (\text{fn } y \Rightarrow y^3)^4)^5$$

Abstract specification

- Note that we can't define \models by structural induction on expressions

$$\begin{aligned} & (\hat{C}, \hat{\rho}) \models (t_1^{l_1} t_2^{l_2})^l \\ \text{iff} \quad & (\hat{C}, \hat{\rho}) \models t_1^{l_1} \wedge (\hat{C}, \hat{\rho}) \models t_2^{l_2} \wedge \\ & (\forall (\text{fn } x \Rightarrow t_0^{l_0}) \in \hat{C}(l_1) : (\hat{C}, \hat{\rho}) \models t_0^{l_0} \wedge \\ & \hat{C}(l_2) \subseteq \hat{\rho}(x) \wedge \hat{C}(l_0) \subseteq \hat{C}(l)) \wedge \\ & (\forall (\text{fun } f x \Rightarrow t_0^{l_0}) \in \hat{C}(l_1) : (\hat{C}, \hat{\rho}) \models t_0^{l_0} \wedge \\ & \hat{C}(l_2) \subseteq \hat{\rho}(x) \wedge \hat{C}(l_0) \subseteq \hat{C}(l) \wedge \\ & \{\text{fun } f x \Rightarrow t_0^{l_0}\} \subseteq \hat{\rho}(f)) \end{aligned}$$

- Instead, define \models coinductively
 - Want the **greatest fixed point** that satisfies equations for \models
- Note: not an algorithm for solving, but a specification

Semantic correctness

- Also need to show that acceptability of analysis results implies semantic correctness
 - That is, \hat{C} and \hat{p} accurately describe the concrete execution.
 - Like a type-soundness statement

Syntax-directed 0-CFA

- Another formulation of 0-CFA that approximates the abstract specification
 - i.e., Define \models_s such that
 - if $(\hat{C}, \hat{\rho}) \models_s e$ then $(\hat{C}, \hat{\rho}) \models e$

Syntax-directed 0-CFA

$$(\hat{C}, \hat{\rho}) \models_s c^l \text{ always}$$

$$(\hat{C}, \hat{\rho}) \models_s x^l \quad \underline{\text{iff}} \quad \hat{\rho}(x) \subseteq \hat{C}(l)$$

$$\begin{aligned} (\hat{C}, \hat{\rho}) \models_s (\text{if } t_0^{l_0} \text{ then } t_1^{l_1} \text{ else } t_2^{l_2})^l \\ \underline{\text{iff}} \quad & (\hat{C}, \hat{\rho}) \models_s t_0^{l_0} \wedge \\ & (\hat{C}, \hat{\rho}) \models_s t_1^{l_1} \wedge (\hat{C}, \hat{\rho}) \models_s t_2^{l_2} \wedge \\ & \hat{C}(l_1) \subseteq \hat{C}(l) \wedge \hat{C}(l_2) \subseteq \hat{C}(l) \end{aligned}$$

$$\begin{aligned} (\hat{C}, \hat{\rho}) \models_s (\text{let } x = t_1^{l_1} \text{ in } t_2^{l_2})^l \\ \underline{\text{iff}} \quad & (\hat{C}, \hat{\rho}) \models_s t_1^{l_1} \wedge (\hat{C}, \hat{\rho}) \models_s t_2^{l_2} \wedge \\ & \hat{C}(l_1) \subseteq \hat{\rho}(x) \wedge \hat{C}(l_2) \subseteq \hat{C}(l) \end{aligned}$$

$$(\hat{C}, \hat{\rho}) \models_s (t_1^{l_1} \text{ op } t_2^{l_2})^l \quad \underline{\text{iff}} \quad (\hat{C}, \hat{\rho}) \models_s t_1^{l_1} \wedge (\hat{C}, \hat{\rho}) \models_s t_2^{l_2}$$

Syntax-directed 0-CFA

$$\begin{aligned}
 (\hat{C}, \hat{\rho}) \models_s (\text{fn } x \Rightarrow e_0)^\ell \\
 \text{iff } \{ \text{fn } x \Rightarrow e_0 \} \subseteq \hat{C}(\ell) \wedge \\
 (\hat{C}, \hat{\rho}) \models_s e_0
 \end{aligned}$$

Note: may check some function bodies that aren't reachable, in return for enabling induction on syntax

$$\begin{aligned}
 (\hat{C}, \hat{\rho}) \models_s (\text{fun } f \ x \Rightarrow e_0)^\ell \\
 \text{iff } \{ \text{fun } f \ x \Rightarrow e_0 \} \subseteq \hat{C}(\ell) \wedge \\
 (\hat{C}, \hat{\rho}) \models_s e_0 \wedge \{ \text{fun } f \ x \Rightarrow e_0 \} \subseteq \hat{\rho}(f)
 \end{aligned}$$

$$\begin{aligned}
 (\hat{C}, \hat{\rho}) \models_s (t_1^{\ell_1} \ t_2^{\ell_2})^\ell \\
 \text{iff } & (\hat{C}, \hat{\rho}) \models_s t_1^{\ell_1} \wedge (\hat{C}, \hat{\rho}) \models_s t_2^{\ell_2} \wedge \\
 & (\forall (\text{fn } x \Rightarrow t_0^{\ell_0}) \in \hat{C}(\ell_1) : \\
 & \quad \hat{C}(\ell_2) \subseteq \hat{\rho}(x) \wedge \hat{C}(\ell_0) \subseteq \hat{C}(\ell) \quad \boxed{}) \wedge \\
 & (\forall (\text{fun } f \ x \Rightarrow t_0^{\ell_0}) \in \hat{C}(\ell_1) : \\
 & \quad \hat{C}(\ell_2) \subseteq \hat{\rho}(x) \wedge \hat{C}(\ell_0) \subseteq \hat{C}(\ell) \quad \boxed{})
 \end{aligned}$$

Syntax-directed 0-CFA

- For any expression e , there is a least $(\hat{C}, \hat{\rho})$ such that $(\hat{C}, \hat{\rho}) \models_s e$
- Can turn this syntax-directed 0-CFA specification into an equivalent algorithm that generates a set of constraints
 - Least solution to set of constraints is least solution to syntax-directed 0-CFA

Constraint-based 0-CFA

$\mathcal{C}_\star[[e_\star]]$ is a set of constraints of the form

$$lhs \subseteq rhs$$

$$\{t\} \subseteq rhs' \Rightarrow lhs \subseteq rhs$$

where

$$rhs ::= C(\ell) \mid r(x)$$

$$lhs ::= C(\ell) \mid r(x) \mid \{t\}$$

and all occurrences of t are of the form $\text{fn } x \Rightarrow e_0$ or $\text{fun } f \ x \Rightarrow e_0$

Constraint-based 0-CFA

$$\mathcal{C}_\star[[c^\ell]] = \emptyset$$

$$\mathcal{C}_\star[[x^\ell]] = \{ r(x) \subseteq C(\ell) \}$$

$$\begin{aligned} \mathcal{C}_\star[[\text{if } t_0^{\ell_0} \text{ then } t_1^{\ell_1} \text{ else } t_2^{\ell_2}]^\ell] &= \mathcal{C}_\star[[t_0^{\ell_0}]] \cup \mathcal{C}_\star[[t_1^{\ell_1}]] \cup \mathcal{C}_\star[[t_2^{\ell_2}]] \\ &\quad \cup \{ C(\ell_1) \subseteq C(\ell) \} \\ &\quad \cup \{ C(\ell_2) \subseteq C(\ell) \} \end{aligned}$$

$$\begin{aligned} \mathcal{C}_\star[[\text{let } x = t_1^{\ell_1} \text{ in } t_2^{\ell_2}]^\ell] &= \mathcal{C}_\star[[t_1^{\ell_1}]] \cup \mathcal{C}_\star[[t_2^{\ell_2}]] \\ &\quad \cup \{ C(\ell_1) \subseteq r(x) \} \cup \{ C(\ell_2) \subseteq C(\ell) \} \end{aligned}$$

$$\mathcal{C}_\star[[t_1^{\ell_1} \text{ op } t_2^{\ell_2}]^\ell] = \mathcal{C}_\star[[t_1^{\ell_1}]] \cup \mathcal{C}_\star[[t_2^{\ell_2}]]$$

Constraint-based 0-CFA

$$\mathcal{C}_\star[(\text{fn } x \Rightarrow e_0)^\ell] = \{ \{\text{fn } x \Rightarrow e_0\} \subseteq \mathcal{C}(\ell) \} \cup \mathcal{C}_\star[e_0]$$

$$\begin{aligned} \mathcal{C}_\star[(\text{fun } f \ x \Rightarrow e_0)^\ell] &= \{ \{\text{fun } f \ x \Rightarrow e_0\} \subseteq \mathcal{C}(\ell) \} \cup \mathcal{C}_\star[e_0] \\ &\cup \{ \{\text{fun } f \ x \Rightarrow e_0\} \subseteq r(f) \} \end{aligned}$$

$$\begin{aligned} \mathcal{C}_\star[(t_1^{\ell_1} \ t_2^{\ell_2})^\ell] &= \mathcal{C}_\star[t_1^{\ell_1}] \cup \mathcal{C}_\star[t_2^{\ell_2}] \\ &\cup \{ \{t\} \subseteq \mathcal{C}(\ell_1) \Rightarrow \mathcal{C}(\ell_2) \subseteq r(x) \mid t = (\text{fn } x \Rightarrow t_0^{\ell_0}) \in \mathbf{Term}_\star \} \\ &\cup \{ \{t\} \subseteq \mathcal{C}(\ell_1) \Rightarrow \mathcal{C}(\ell_0) \subseteq \mathcal{C}(\ell) \mid t = (\text{fn } x \Rightarrow t_0^{\ell_0}) \in \mathbf{Term}_\star \} \\ &\cup \{ \{t\} \subseteq \mathcal{C}(\ell_1) \Rightarrow \mathcal{C}(\ell_2) \subseteq r(x) \mid t = (\text{fun } f \ x \Rightarrow t_0^{\ell_0}) \in \mathbf{Term}_\star \} \\ &\cup \{ \{t\} \subseteq \mathcal{C}(\ell_1) \Rightarrow \mathcal{C}(\ell_0) \subseteq \mathcal{C}(\ell) \mid t = (\text{fun } f \ x \Rightarrow t_0^{\ell_0}) \in \mathbf{Term}_\star \} \end{aligned}$$

Example

$$\begin{aligned} \mathcal{C}_\star \llbracket ((\text{fn } x \Rightarrow x^1)^2 (\text{fn } y \Rightarrow y^3)^4)^5 \rrbracket = \\ & \{ \{ \text{fn } x \Rightarrow x^1 \} \subseteq C(2), \\ & \quad r(x) \subseteq C(1), \\ & \quad \{ \text{fn } y \Rightarrow y^3 \} \subseteq C(4), \\ & \quad r(y) \subseteq C(3), \\ & \quad \{ \text{fn } x \Rightarrow x^1 \} \subseteq C(2) \Rightarrow C(4) \subseteq r(x), \\ & \quad \{ \text{fn } x \Rightarrow x^1 \} \subseteq C(2) \Rightarrow C(1) \subseteq C(5), \\ & \quad \{ \text{fn } y \Rightarrow y^3 \} \subseteq C(2) \Rightarrow C(4) \subseteq r(y), \\ & \quad \{ \text{fn } y \Rightarrow y^3 \} \subseteq C(2) \Rightarrow C(3) \subseteq C(5) \} \end{aligned}$$

Correctness

Translating syntactic entities to sets of terms:

$$\begin{aligned}(\hat{C}, \hat{\rho}) \llbracket C(\ell) \rrbracket &= \hat{C}(\ell) \\(\hat{C}, \hat{\rho}) \llbracket r(x) \rrbracket &= \hat{\rho}(x) \\(\hat{C}, \hat{\rho}) \llbracket \{t\} \rrbracket &= \{t\}\end{aligned}$$

Satisfaction relation for constraints: $(\hat{C}, \hat{\rho}) \models_c (lhs \subseteq rhs)$

$$\begin{aligned}(\hat{C}, \hat{\rho}) \models_c (lhs \subseteq rhs) \\ \text{iff } (\hat{C}, \hat{\rho}) \llbracket lhs \rrbracket \subseteq (\hat{C}, \hat{\rho}) \llbracket rhs \rrbracket\end{aligned}$$

$$\begin{aligned}(\hat{C}, \hat{\rho}) \models_c (\{t\} \subseteq rhs' \Rightarrow lhs \subseteq rhs) \\ \text{iff } (\{t\} \subseteq (\hat{C}, \hat{\rho}) \llbracket rhs' \rrbracket \wedge (\hat{C}, \hat{\rho}) \llbracket lhs \rrbracket \subseteq (\hat{C}, \hat{\rho}) \llbracket rhs \rrbracket) \\ \vee (\{t\} \not\subseteq (\hat{C}, \hat{\rho}) \llbracket rhs' \rrbracket)\end{aligned}$$

Proposition: $(\hat{C}, \hat{\rho}) \models_s e_\star$ if and only if $(\hat{C}, \hat{\rho}) \models_c \mathcal{C}_\star \llbracket e_\star \rrbracket$.

Adding data-flow analysis

- Current domain equations

$$\hat{v} \in \widehat{\text{Val}} = \mathcal{P}(\text{Term}) \quad \text{abstract values}$$

$$\hat{\rho} \in \widehat{\text{Env}} = \text{Var} \rightarrow \widehat{\text{Val}} \quad \text{abstract environments}$$

$$\hat{c} \in \widehat{\text{Cache}} = \text{Lab} \rightarrow \widehat{\text{Val}} \quad \text{abstract caches}$$

Actually, just functions



- Idea: extend abstract values to include other things than just functions

- E.g., let Data be set of **abstract data values**

- e.g., {tt, ff, -, 0, +}

$$\hat{v} \in \widehat{\text{Val}}_d = \mathcal{P}(\text{Term} \cup \mathbf{\text{Data}}) \quad \text{abstract values}$$

Abstract data values

- For each constant c , need abstract data value d_c
- For each operator op need abstract operator $op : \text{Data} \times \text{Data} \rightarrow \mathcal{P}(\text{Data})$

$$\text{Data}_{\text{sign}} = \{\text{tt}, \text{ff}, -, 0, +\}$$

$$d_{\text{true}} = \text{tt}$$

$$d_7 = +$$

$\hat{+}$ is defined from

d_+	tt	ff	-	0	+
tt	\emptyset	\emptyset	\emptyset	\emptyset	\emptyset
ff	\emptyset	\emptyset	\emptyset	\emptyset	\emptyset
-	\emptyset	\emptyset	$\{-\}$	$\{-\}$	$\{-, 0, +\}$
0	\emptyset	\emptyset	$\{-\}$	$\{0\}$	$\{+\}$
+	\emptyset	\emptyset	$\{-, 0, +\}$	$\{+\}$	$\{+\}$

Data-flow and control-flow spec

$$(\hat{C}, \hat{\rho}) \models_d c^\ell \quad \underline{\text{iff}} \quad \{d_c\} \subseteq \hat{C}(\ell)$$

$$(\hat{C}, \hat{\rho}) \models_d x^\ell \quad \underline{\text{iff}} \quad \hat{\rho}(x) \subseteq \hat{C}(\ell)$$

$$(\hat{C}, \hat{\rho}) \models_d (\text{if } t_0^{\ell_0} \text{ then } t_1^{\ell_1} \text{ else } t_2^{\ell_2})^\ell$$

$\underline{\text{iff}} \quad (\hat{C}, \hat{\rho}) \models_d t_0^{\ell_0} \wedge$

$$(d_{\text{true}} \in \hat{C}(\ell_0) \Rightarrow ((\hat{C}, \hat{\rho}) \models_d t_1^{\ell_1} \wedge \hat{C}(\ell_1) \subseteq \hat{C}(\ell))) \wedge$$

$$(d_{\text{false}} \in \hat{C}(\ell_0) \Rightarrow ((\hat{C}, \hat{\rho}) \models_d t_2^{\ell_2} \wedge \hat{C}(\ell_2) \subseteq \hat{C}(\ell)))$$

$$(\hat{C}, \hat{\rho}) \models_d (\text{let } x = t_1^{\ell_1} \text{ in } t_2^{\ell_2})^\ell$$

$$\underline{\text{iff}} \quad (\hat{C}, \hat{\rho}) \models_d t_1^{\ell_1} \wedge (\hat{C}, \hat{\rho}) \models_d t_2^{\ell_2} \wedge \hat{C}(\ell_1) \subseteq \hat{\rho}(x) \wedge \hat{C}(\ell_2) \subseteq \hat{C}(\ell)$$

$$(\hat{C}, \hat{\rho}) \models_d (t_1^{\ell_1} \text{ op } t_2^{\ell_2})^\ell$$

$$\underline{\text{iff}} \quad (\hat{C}, \hat{\rho}) \models_d t_1^{\ell_1} \wedge (\hat{C}, \hat{\rho}) \models_d t_2^{\ell_2} \wedge \hat{C}(\ell_1) \hat{\text{op}} \hat{C}(\ell_2) \subseteq \hat{C}(\ell)$$

- Is flow sensitive: can determine whether true or false branches can be taken

Arbitrary lattices

- $\hat{\text{Val}} = P(\text{Term} \cup \text{Data}) = P(\text{Term}) \times P(\text{Data})$
- Could also use an arbitrary lattice
 $\hat{\text{Val}} = P(\text{Term} \cup \text{Data}) = P(\text{Term}) \times L$

Adding contexts

- 0-CFA is a context-insensitive (or **mono-variant**) analysis
 - Does not distinguish various instances of program variables and program points from each other
- Context-sensitive (or **poly-variant**) analysis does distinguish

Uniform k -CFA

$\hat{v} \in \widehat{\text{Val}} = \mathcal{P}(\text{Term})$ *abstract values*

$\hat{\rho} \in \widehat{\text{Env}} = \text{Var} \rightarrow \widehat{\text{Val}}$ *abstract environments*

$\hat{c} \in \widehat{\text{Cache}} = \text{Lab} \rightarrow \widehat{\text{Val}}$ *abstract caches*

- Idea: extend $\widehat{\text{Val}}$ to include context information
- Contexts δ will record last k dynamic call-sites

$\delta \in \Delta = \text{Lab}^{\leq k}$ context information

$ce \in \text{CEnv} = \text{Var} \rightarrow \Delta$ context environments

*Definition point
of free variables
of terms*

$\hat{v} \in \widehat{\text{Val}} = \mathcal{P}(\text{Term} \times \text{CEnv})$ abstract values

$\hat{\rho} \in \widehat{\text{Env}} = (\text{Var} \times \Delta) \rightarrow \widehat{\text{Val}}$ abstract environments

$\hat{c} \in \widehat{\text{Cache}} = (\text{Lab} \times \Delta) \rightarrow \widehat{\text{Val}}$ abstract caches

- Called “uniform” because both environment and cache use same precision

Acceptability relation

$$(\hat{C}, \hat{\rho}) \models_{\delta}^{ce} e$$

- ce is current context environment
 - i.e., for free variables of e , in which context were they bound?
 - Changes as variables are bound
- δ is current context
 - Changes as functions are applied

Acceptability relation

$$(\hat{C}, \hat{\rho}) \models_{\delta}^{ce} c^l \text{ always}$$

$$(\hat{C}, \hat{\rho}) \models_{\delta}^{ce} x^l \quad \underline{\text{iff}} \quad \hat{\rho}(x, ce(x)) \subseteq \hat{C}(l, \delta)$$

$$\begin{aligned} (\hat{C}, \hat{\rho}) \models_{\delta}^{ce} (\text{if } t_0^{l_0} \text{ then } t_1^{l_1} \text{ else } t_2^{l_2})^l \\ \underline{\text{iff}} \quad & (\hat{C}, \hat{\rho}) \models_{\delta}^{ce} t_0^{l_0} \wedge (\hat{C}, \hat{\rho}) \models_{\delta}^{ce} t_1^{l_1} \wedge (\hat{C}, \hat{\rho}) \models_{\delta}^{ce} t_2^{l_2} \wedge \\ & \hat{C}(l_1, \delta) \subseteq \hat{C}(l, \delta) \wedge \hat{C}(l_2, \delta) \subseteq \hat{C}(l, \delta) \end{aligned}$$

$$\begin{aligned} (\hat{C}, \hat{\rho}) \models_{\delta}^{ce} (\text{let } x = t_1^{l_1} \text{ in } t_2^{l_2})^l \\ \underline{\text{iff}} \quad & (\hat{C}, \hat{\rho}) \models_{\delta}^{ce} t_1^{l_1} \wedge (\hat{C}, \hat{\rho}) \models_{\delta}^{ce'} t_2^{l_2} \wedge \\ & \hat{C}(l_1, \delta) \subseteq \hat{\rho}(x, \delta) \wedge \hat{C}(l_2, \delta) \subseteq \hat{C}(l, \delta) \\ & \text{where } ce' = ce[x \mapsto \delta] \end{aligned}$$

$$(\hat{C}, \hat{\rho}) \models_{\delta}^{ce} (t_1^{l_1} \text{ op } t_2^{l_2})^l \quad \underline{\text{iff}} \quad (\hat{C}, \hat{\rho}) \models_{\delta}^{ce} t_1^{l_1} \wedge (\hat{C}, \hat{\rho}) \models_{\delta}^{ce} t_2^{l_2}$$

Acceptability relation

$$(\hat{C}, \hat{\rho}) \models_{\delta}^{ce} (\text{fn } x \Rightarrow e_0)^l \quad \text{iff} \quad \{(\text{fn } x \Rightarrow e_0, \text{ce})\} \subseteq \hat{C}(l, \delta)$$

$$(\hat{C}, \hat{\rho}) \models_{\delta}^{ce} (\text{fun } f \ x \Rightarrow e_0)^l \quad \text{iff} \quad \{(\text{fun } f \ x \Rightarrow e_0, \text{ce})\} \subseteq \hat{C}(l, \delta)$$

$$(\hat{C}, \hat{\rho}) \models_{\delta}^{ce} (t_1^{l_1} \ t_2^{l_2})^l$$

$$\text{iff} \quad (\hat{C}, \hat{\rho}) \models_{\delta}^{ce} t_1^{l_1} \wedge (\hat{C}, \hat{\rho}) \models_{\delta}^{ce} t_2^{l_2} \wedge$$

$$(\forall (\text{fn } x \Rightarrow t_0^{l_0}, \text{ce}_0) \in \hat{C}(l_1, \delta) :$$

$$(\hat{C}, \hat{\rho}) \models_{\delta_0}^{ce'_0} t_0^{l_0} \wedge \hat{C}(l_2, \delta) \subseteq \hat{\rho}(x, \delta_0) \wedge \hat{C}(l_0, \delta_0) \subseteq \hat{C}(l, \delta)$$

$$\text{where } \delta_0 = [\delta, l]_k \text{ and } ce'_0 = ce_0[x \mapsto \delta_0] \wedge$$

$$(\forall (\text{fun } f \ x \Rightarrow t_0^{l_0}, \text{ce}_0) \in \hat{C}(l_1, \delta) :$$

$$(\hat{C}, \hat{\rho}) \models_{\delta_0}^{ce'_0} t_0^{l_0} \wedge \hat{C}(l_2, \delta) \subseteq \hat{\rho}(x, \delta_0) \wedge \hat{C}(l_0, \delta_0) \subseteq \hat{C}(l, \delta) \wedge$$

$$\{(\text{fun } f \ x \Rightarrow t_0^{l_0}, \text{ce}_0)\} \subseteq \hat{\rho}(f, \delta_0)$$

$$\text{where } \delta_0 = [\delta, l]_k \text{ and } ce'_0 = ce_0[f \mapsto \delta_0, x \mapsto \delta_0])$$

Example

`(let f = (fn x => x1)2 in ((f3 f4)5 (fn y => y6)7)8)9`

- Contexts of interest for uniform 1-CFA

Λ : the initial context

5: the context when the application point labelled 5 has been passed

8: the context when the application point labelled 8 has been passed

- Context environments of interest for uniform 1-CFA

$ce_0 = []$ the initial (empty) context environment

$ce_1 = ce_0[f \mapsto \Lambda]$ the context environment for the analysis of the body of the `let`-construct

$ce_2 = ce_0[x \mapsto 5]$ the context environment used for the analysis of the body of `f` initiated at the application point 5

$ce_3 = ce_0[x \mapsto 8]$ the context environment used for the analysis of the body of `f` initiated at the application point 8.

Example

$$\begin{aligned}\hat{C}_{id}'(1, 5) &= \{(\text{fn } x \Rightarrow x^1, \text{ce}_0)\} & \hat{C}_{id}'(1, 8) &= \{(\text{fn } y \Rightarrow y^6, \text{ce}_0)\} \\ \hat{C}_{id}'(2, \wedge) &= \{(\text{fn } x \Rightarrow x^1, \text{ce}_0)\} & \hat{C}_{id}'(3, \wedge) &= \{(\text{fn } x \Rightarrow x^1, \text{ce}_0)\} \\ \hat{C}_{id}'(4, \wedge) &= \{(\text{fn } x \Rightarrow x^1, \text{ce}_0)\} & \hat{C}_{id}'(5, \wedge) &= \{(\text{fn } x \Rightarrow x^1, \text{ce}_0)\} \\ \hat{C}_{id}'(7, \wedge) &= \{(\text{fn } y \Rightarrow y^6, \text{ce}_0)\} & \hat{C}_{id}'(8, \wedge) &= \{(\text{fn } y \Rightarrow y^6, \text{ce}_0)\} \\ \hat{C}_{id}'(9, \wedge) &= \{(\text{fn } y \Rightarrow y^6, \text{ce}_0)\} & & \\ \hat{\rho}_{id}'(f, \wedge) &= \{(\text{fn } x \Rightarrow x^1, \text{ce}_0)\} & & \\ \hat{\rho}_{id}'(x, 5) &= \{(\text{fn } x \Rightarrow x^1, \text{ce}_0)\} & \hat{\rho}_{id}'(x, 8) &= \{(\text{fn } y \Rightarrow y^6, \text{ce}_0)\}\end{aligned}$$

This is an acceptable analysis result:

$$(\hat{C}_{id}', \hat{\rho}_{id}') \models_{\wedge}^{\text{ce}_0} (\text{let } f = (\text{fn } x \Rightarrow x^1)^2 \text{ in } ((f^3 f^4)^5 (\text{fn } y \Rightarrow y^6)^7)^8)^9$$

Complexity

$\delta \in \Delta$	$= \text{Lab}^{\leq k}$	context information
$ce \in \text{CEnv}$	$= \text{Var} \rightarrow \Delta$	context environments
$\hat{v} \in \widehat{\text{Val}}$	$= \mathcal{P}(\text{Term} \times \text{CEnv})$	abstract values
$\hat{\rho} \in \widehat{\text{Env}}$	$= (\text{Var} \times \Delta) \rightarrow \widehat{\text{Val}}$	abstract environments
$\hat{c} \in \widehat{\text{Cache}}$	$= (\text{Lab} \times \Delta) \rightarrow \widehat{\text{Val}}$	abstract caches

- k-CFA has worst-case exponential complexity in size of program
 - Size n program, p variables
 - Δ has $O(n)$ elements
 - Size of CEnv is $O(n^p)$
 - $\widehat{\text{Val}}$ is powerset of pairs (t, ce), and there are $O(n \times n^p)$ pairs, so Val has height $O(n \times n^p)$
 - $p = O(n)$
- 0-CFA has worst-case polynomial complexity

Variations on k -CFA

Uniform k -CFA

ce	\in	\mathbf{CEnv}	$=$	$\mathbf{Var} \rightarrow \Delta$	context environments
\hat{v}	\in	$\widehat{\mathbf{Val}}$	$=$	$\mathcal{P}(\mathbf{Term} \times \mathbf{CEnv})$	abstract values
$\hat{\rho}$	\in	$\widehat{\mathbf{Env}}$	$=$	$(\mathbf{Var} \times \Delta) \rightarrow \widehat{\mathbf{Val}}$	abstract environments
\hat{C}	\in	$\widehat{\mathbf{Cache}}$	$=$	$(\mathbf{Lab} \times \Delta) \rightarrow \widehat{\mathbf{Val}}$	abstract caches

k -CFA

$$\hat{C} \in \widehat{\mathbf{Cache}} = (\mathbf{Lab} \times \mathbf{CEnv}) \rightarrow \widehat{\mathbf{Val}} \quad \text{abstract caches}$$

Polynomial k -CFA

$$\hat{v} \in \widehat{\mathbf{Val}} = \mathcal{P}(\mathbf{Term} \times \Delta) \quad \text{abstract values}$$

k -CFA Paradox

- [Might, Smaragdakis, van Horn, PLDI 10]
- k -CFA is exponential for $k \geq 1$
- But k -CFA is like using context of k most recent call-sites
 - Polynomial for OO languages
 - Doop implemented in Datalog, which only allows polynomial time algorithms
 - OO has dynamic dispatch
- What gives?

Wait, which k -CFA?

- In OO world, translate k -CFA to “ k -call-site sensitive interprocedural pointer analysis with a k -context-sensitive heap and on-the-fly call-graph construction”
 - i.e., data flow (points-to relation) and call-graph dependent on each other
- Is it the same analysis? Yes. And paradox still holds.

Paradox resolved

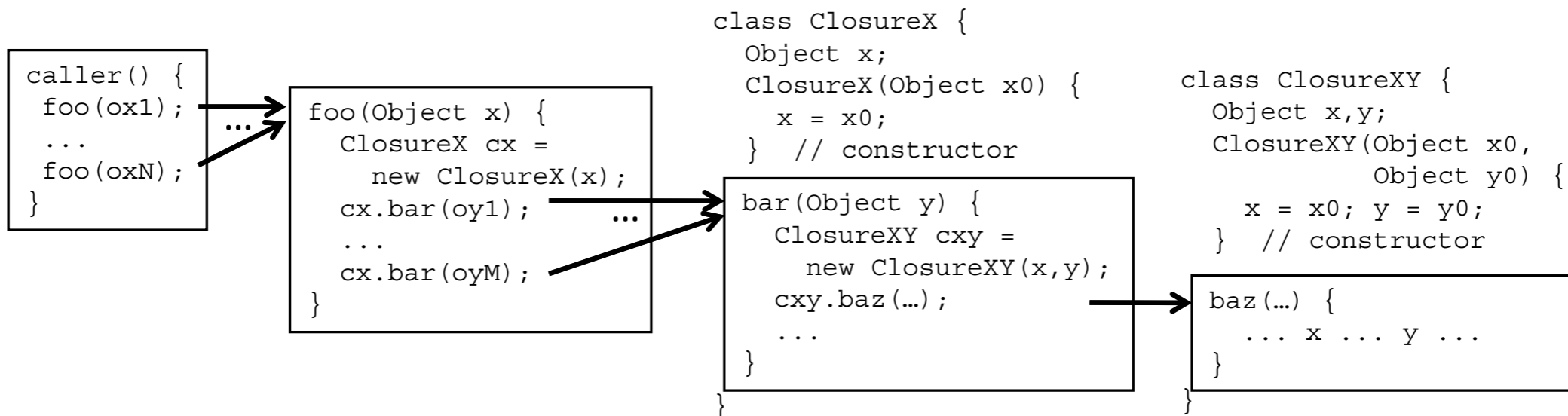
- In functional languages, closures are created incrementally
 - Each variable in a closure could be bound in a different context
 - Source of exponentiality

$$\begin{aligned}\delta \in \Delta &= \text{Lab}^{\leq k} && \text{context information} \\ ce \in \text{CEnv} &= \text{Var} \rightarrow \Delta && \text{context environments} \\ \hat{v} \in \widehat{\text{Val}} &= \mathcal{P}(\text{Term} \times \text{CEnv}) && \text{abstract values} \\ \hat{\rho} \in \widehat{\text{Env}} &= (\text{Var} \times \Delta) \rightarrow \widehat{\text{Val}} && \text{abstract environments} \\ \hat{c} \in \widehat{\text{Cache}} &= (\text{Lab} \times \Delta) \rightarrow \widehat{\text{Val}} && \text{abstract caches}\end{aligned}$$

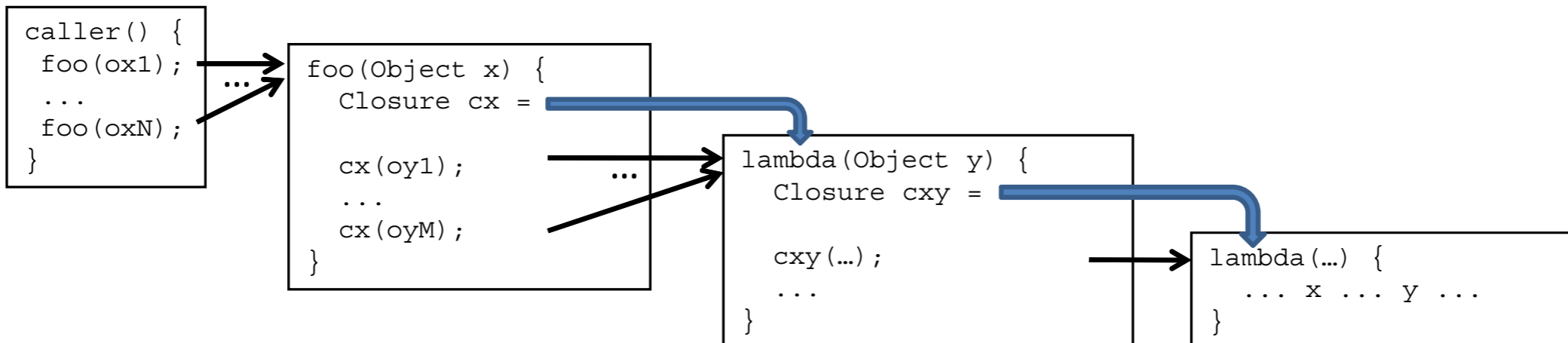
- In OO languages, closures created explicitly by invoking constructor
 - Variables are *copied*, and so effectively all variables bound in same context
 - $\text{CEnv} = \Delta$ instead of $\text{Var} \rightarrow \Delta$

Example

- OO program



- Equivalent functional program



m -CFA

- From this insight, Might, Smaragdakis and Van Horn develop m -CFA
 - Contexts are the top m stack frames
 - (Different from last k call sites when in continuation-passing style)
 - Essentially $\text{CEnv} = \Delta$ instead of $\text{Var} \rightarrow \Delta$
- Polynomial-time analysis, seems as precise as a k -CFA for significantly less time